



1774

Amtd. Trans.  
PATENT

Our File No.: A34258-PCT-USA  
Date: February 13, 2003

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Poopathy Kathirgamanathan

Serial No.: 09/830,897

Examiner: C. Thompson

Filed: May 2, 2001

Art Unit: 1774

For: NOVEL ELECTROLUMINESCENT MATERIALS

I hereby certify that this paper is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents Washington, DC 20231

February 13, 2003  
Date of Deposit

Marta E. Delsignore  
Attorney Name

32,689  
PTO Registration

  
Signature

February 13, 2003  
Date of Signature

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Transmitted herewith is an amendment in the above-identified application.

1.  Small entity status of this application under 37 CFR 1.9 and 1.27 has been established by a verified statement previously submitted.
2.  Information Disclosure Statement
3.  No additional fee is required.

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TC 1700

The Fee has been calculated as shown below:

		Claims remaining after amendt. (Col. 1)		Highest No. Prev. Paid for (Col. 2)		Present extra (Col. 3)	SMALL ENTITY			OTHER THAN A SMALL ENTITY				
							RATE		FEE	RATE		FEE		
Total	*	20	Minus **	20	=	0	X	9	=	0	X	18	=	0
Ind.	*	3	Minus ***	3	=	0	X	42	=	0	X	84	=	0
() First Presentation of Multiple Dependent Claims						+ 140	=	0		+ 280	=	0		
TOTAL ADDITIONAL FEE:								0				TOTAL:	0	

- \* If the entry in Col 1 is less than the entry in Col. 2, write "0" in Col. 3.
- \*\* If the "Highest No. prev. paid for" in this space is less than 20, write "20" in this space.
- \*\*\* If the "Highest No. prev. paid for" in this space is less than 3, write "3" in this space.

4.(a) [ ] An Extension of Time to respond to the PTO communication dated is hereby requested. The required fee, indicated below, is enclosed herewith.

Extension for response (check only one):

	<u>SMALL ENTITY</u>		<u>OTHER THAN A SMALL ENTITY</u>	
Within first month	[ ]	\$ 55	[ ]	\$ 110
Within second month	[ ]	205	[ ]	410
Within third month	[ ]	465	[ ]	930
Within fourth month	[ ]	725	[ ]	1,450

(check and complete the next item, if applicable)

[ ] An extension for has already been secured and the fee paid therefore of \$ is deducted from the total fee due for the total months of extension now requested.

Extension fee due with this request \$.

or

(b)[ ] In the event that an extension of time is required, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition and fee for extension of time.

5. [ ] Please charge our Deposit Account No. 50-1145 in the amount of \$ . Two copies of this sheet are enclosed.

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6. [ ] A check in the amount of \$0 is enclosed.

7. [X] The Commissioner is hereby authorized to charge payment of any additional filing fees are required under 37 CFR 1.16 and/or 37 CFR 1.117 associated with this communication or credit any overpayment to Deposit Account No. 50-1145. Two copies of this sheet are enclosed.

PITNEY, HARDIN, KIPP & SZUCH LLP

By: Marta E. Delsignore  
Marta E. Delsignore

PTO Registration No. 32,689

Pitney, Hardin, Kipp & Szuch LLP

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(212) 297-5804

Enclosures

FEB 20 2003



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

11/C  
2/26/03

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AMENDMENT

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Marta E. Delsignore  
Attorney Name

32,689  
PTO Registration No.

February 13, 2003  
Date of Signature

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In response to the Office Action mailed September 26, 2002 and the Notice of Non-Compliant Amendment mailed February 6, 2003 please amend the above-identified patent application as follows.

In the Specification

Please amend page 5, lines 29 and 30 and page 6, lines 1-3 to read as follows:

In a preferred structure there is a substrate formed of a transparent conductive material which is the anode on which is successively deposit a hold transportation layer, the electroluminescent material layer and an electron injection layer which is connected to the cathode. The cathode can be any low work function metal e.g. aluminum, calcium, lithium, silver/magnesium alloys, etc.